



21 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION SERIAL NO. .... 08/680,067  
FILING DATE ..... July 15, 1996  
INVENTORSHIP ..... Schmidt et al.  
GROUP ART UNIT ..... Unknown  
EXAMINER ..... Unknown  
ATTORNEY'S DOCKET NO. .... SE10-0108  
TITLE: Plating System For Semiconductor Materials

**TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**  
(Missing Parts)

To: BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

From: Wells, St. John, Roberts,  
Gregory & Matkin P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99204-0317  
Telephone: (509) 624-4276  
Fax: (509) 838-3424

Enclosed are:

1. PTO Return Postcard Receipt
2. A \$2,658 check
3. Transmittal Letter with Certificate of Mailing
4. Copy of Notice to File Missing Parts
5. Inventor(s) Declaration
6. Assignment of the Invention to Semitool, Inc., and Recordation Cover Sheet
7. Combined Power of Attorney and Statement Establishing Right of Assignee to Prosecute
8. Petition to Correct Inventorship
9. Declaration of Inventors Including Verified Statement of Facts
10. Consent of Assignee to Add Inventors

☐ Small Entity Status is claimed. ☒ Large Entity Status Applies.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 23-0925 in connection with: any patent application processing fees under 37 CFR 1.17; and any filing fees under 37 CFR 1.16 for the presentation of extra claims.

Date: 19 Dec 1996

Respectfully submitted,  
By: Randy A. Gregory  
Title: Attorney/Agent for Applicant  
Randy A. Gregory  
Reg. No.: 30,386

**CERTIFICATE OF MAILING**

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on the below-indicated date.

Dated: 19 Dec. 1996

Signature: Karen S. Brasefield  
Name: Karen S. Brasefield

**CALCULATION OF TOTAL FEES DUE**

CLAIMS FEES	Number Filed (Col. 1)	No. Extra (Col. 2)	Small Entity		Large Entity	
			Rate (\$)	Fee (\$)	Rate (\$)	Fee (\$)
Basic Fee				385		770
Total Claims	39 - 20 =	19	x 11 =		x 22 =	418
Indep. Claims	6 - 3 =	3	x 40 =		x 80 =	240
<input type="checkbox"/> Multiple dependent claim presented *If the difference in Col. 1 is less than zero, enter "0" in Col. 2			x 130 =		x 260 =	
<b>TOTAL APPLICATION FEES</b>						1,428
An extension of a shortened statutory time for response under 37 CFR 1.136(a) is requested as indicated below or as necessary to maintain the pendency of this application						
Extension Fees		1 Month	\$ 55.00		\$ 110.00	
		2 Months	195.00		390.00	
		3 Months	465.00		930.00	930
		4 Months	735.00		1,470.00	
Late Filing Surcharge			\$ 65.00		\$ 130.00	130
Any Other Fees:						
Petition to Add Inventor fee						130
<input checked="" type="checkbox"/> Assignment Recording Fee (Recordation Form Cover Sheet included)						40
<b>TOTAL FEES SUBMITTED</b>						2,658



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/680,067      07/15/96      SCHMITZ

b.

SE10-0008 KKKK

0282/0830

WELLS ST JOHN ROBERTS  
GREGORY AND MATKIN  
601 W FIRST AVENUE  
SUITE 1300  
SPOKANE WA 99204-0317

0000  
DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$\_\_\_\_\_ for large entities or \$\_\_\_\_\_ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ \_\_\_\_\_.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$\_\_\_\_\_ to complete the basic filing fee.
2. ☒ Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.

**An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.**

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
  
\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$\_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$\_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response and any questions about this notice to, Attention: Application Processing Division,  
Special Processing and Correspondence Branch (703) 308-1202.

***A copy of this notice MUST be returned with the response.***